

# LUNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Werner BRANDSTÄTTER

Herbert EIBENSTEINER
Martin FLEISCHANDERL

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Anna Elisabeth RAAB Siegfried KOLNBERGER

Serial No.:

10/566,069

Filing Date:

01 May 2007

Title:

METHOD FOR PRODUCING A

HARDENED PROFILE PART

Customer No.:

42419

Group No.:

3725

## REQUEST FOR CORRECTION OF FILING RECEIPT

Office of Initial Patent Examination's Filing Receipt Corrections Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicants are in receipt of the official Filing Receipt issued in connection with the subject U.S. Patent Application by the U.S. Patent and Trademark Office.

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

11/16/07

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Serial No.: 10/566,069

Applicants note that the official Filing Receipt incorrectly indicates the Filing Date as 11 May 2007. The correct Filing Date is 01 May 2007.

Applicants are enclosing herewith a copy of the Certificate of Mailing by Express Mail, a copy of the U.S. Postal Express Mail Receipt, and a copy of a return receipt postcard stamped by the mailroom of the U.S. Patent and Trademark Office showing receipt of the subject U.S. Patent Application.

Applicants are also enclosing a copy of the official Filing Receipt with the requested correction shown in red thereon.

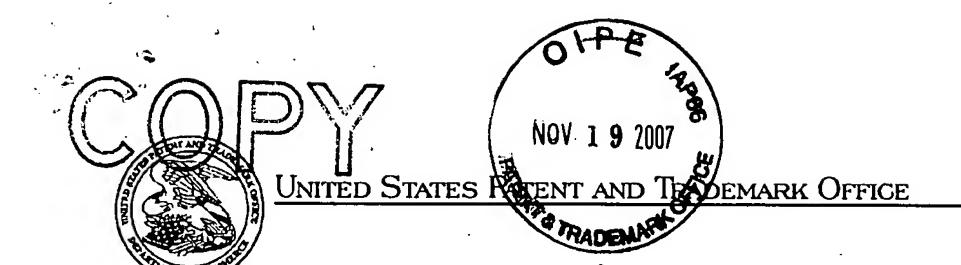
Additionally, Applicants are enclosing a copy of the Notice of Acceptance of Application Under 35 U.S.C. 371 and 37 CFR 1.495 with the requested corrections shown in red thereon.

Accordingly, Applicants respectfully request that a Corrected Filing Receipt showing the correct Filing Date be issued by the U.S. Patent and Trademark Office.

Respectfully submitted,

Registration No. 40,924

Pauley Petersen & Erickson 2800 West Higgins Road; Suite 365 Hoffman Estates, Illinois 60169 TEL (847) 490-1400 FAX (847) 490-1403





UNITED PRITES PEREREMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS
P.O. Box 1450

Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

Pauley Petersen & Erickson

2800 West Higgins Road

Hoffman Estates, IL 60195

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/566,069

Werner Brandstatter

NOS-111

INTERNATIONAL APPLICATION NO.

PCT/EP04/06250

I.A. FILING DATE

PRIORITY DATE

06/09/2004

07/29/2003

**CONFIRMATION NO. 9981 371 ACCEPTANCE LETTER** 

\*OC000000025441128\*

Date Mailed: 08/20/2007

Suite 365

# NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

05/01/2007

05/01/2007

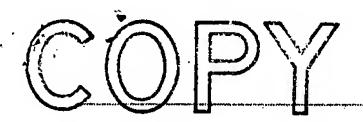
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS

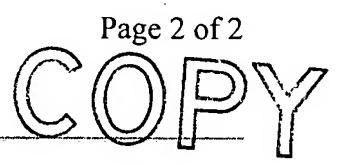
DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 01/26/2006
- English Translation of the IA filed on 01/26/2006
- Copy of the International Search Report filed on 01/26/2006.
- Preliminary Amendments filed on 01/26/2006
- Information Disclosure Statements filed on 06/12/2006
- Oath or Declaration filed on <del>05/11/2007</del> os/o1/2007
- Request for Immediate Examination filed on 01/26/2006
- U.S. Basic National Fees filed on 01/26/2006
- Substitute Sperification filed on 01/26/2006





Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

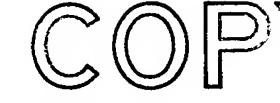
PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice) •



COPY





TENT AND TRADEMARK OFFICE

Applicants:

Werner BRANDSTÄTTER et al.

Title:

METHOD FOR PRODUCING A HARDENED

**PROFILE PART** 

Serial No.:

10/566,069

Based Upon:

PCT/EP2004/006250

Express Mail No.: EV924406773US

Date of Deposit:

01 May 2007

### CERTIFICATE OF MAILING BY EXPRESS MAIL

## Mail Stop PCT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that the following requisites of the subject patent application are being deposited with the United States Postal Service as Express Mail Post Office to Addressee No. EV924406773US, on 01 May 2007, and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, together with the items listed below.

- Transmittal Letter (Form PTO-1390) (2 pages), in duplicate
- Executed German Language Declaration (9 pages), attached to a German language copy of the International Application







- Recordation Form Cover Sheet, together with executed
   Assignment Document (10 pages total)
- A check in the amount of \$170.00 to cover the fees set forth in the Transmittal Letter
- Copy of the Notification of Missing Requirements Under 35
   U.S.C. 371 in the United States Designated/Elected Office
   (DO/EO/US)
- Return Receipt Postcard

Respectfully submitted,

Melanie I. Rauch

Registration No. 40,924

Pauley Petersen & Erickson 2800 West Higgins Road; Suite 365 Hoffman Estates, Illinois 60169 TEL (847) 490-1400 FAX (847) 490-1403





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Mail Stop PCT, Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450

Please affix the USPTO receipt stamp hereon as evidence of receipt of enclosed papers.

Transmittal Letter (Form PTO-1390) (2 pages), in duplicate Executed German Language Declaration (9 pages), attached to a German language copy of the International Application Recordation Form Cover Sheet, together with executed Assignment Document (10 pages total) A check in the amount of \$170.00 to cover the fees set forth in the Transmittal Letter A copy of the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) Certificate of Mailing by Express Mail (2 pages)

All by Express Mail No. EV924406773ÜS mailed on 01 May 2007.

Werner BRANDSTÄTTER et al. Applicants:

Serial No.: 10/566,069

METHÓD FOR PRODUCING A HARDENED PROFILE PART Title:

Based Upon: PCT/EP2004/006250 Customer No.: 42419

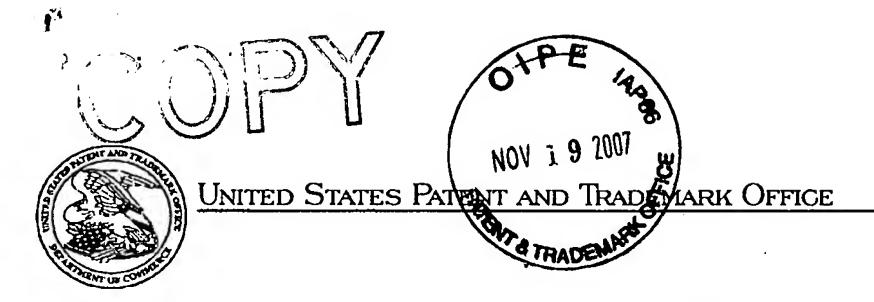
Melanie I. Rauch **NOS-111** 

IAP6 Rec'd PCT/PTO 01 MAY 2007





P465/csh



Pauley Petersen & Erickson

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

Alexandria, Virginia 22313-1450 www.uspto.gov

APPL NO.

FILING OR 371(c) DATE

ART UNIT

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<del>105/11/2007</del> 3725

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05/01/2007

Pauley Petersen & Erickson 2800 West Higgins Road Suite 365 Hoffman Estates, IL 60195 **CONFIRMATION NO. 9981** 

**FILING RECEIPT** 



\*OC000000025441127\*

Date Mailed: 08/20/2007

Receipt is acknowledged of this nonprovisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Werner Brandstatter, Linz; AUSTRIA; Herbert Eibensteiner, Dross, AUSTRIA; Martin Fleischanderl, Rainbach, AUSTRIA; Josef Faderl, Steyr, AUSTRIA; Gerald Landl, Linz, AUSTRIA; Anna Elisabeth Raab, Linz, AUSTRIA; Siegfried Kolnberger, Pasching, AUSTRIA;

Power of Attorney: The patent practitioners associated with Customer Number 42419.

### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/06250 06/09/2004

#### Foreign Applications

AUSTRIA A 1202/2003 07/29/2003 AUSTRIA A1203/2003 07/29/2003

If Required, Foreign Filing License Granted: 08/17/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/566,069

Projected Publication Date: 11/29/2007

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Non-Publication Request: No

Early Publication Request: No

**Title** 

Method for Producing a Hardened Profile Part

**Preliminary Class** 

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## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the content of the phrase of the phrase



the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



